

Regulation, Audit and Accounts Committee

Constitution

Seven members of the County Council and one non-voting co-opted independent member. Quorum is three members of the Council. The Chairman will be a minority group member in accordance with Standing Order 2.13.

Note: The purpose of the audit function of the Committee is to provide independent assurance of the adequacy of risk management framework and the associated control environment, independent scrutiny of the County Council's financial and non-financial performance to the extent that it affects the Council's exposure to risk and weakens the control environment, and to oversee the financial reporting process.

The non-voting co-opted independent member should have experience of audit and financial management, preferably with knowledge of local authorities and will be appointed by the Committee on the recommendation of the Chairman and Vice-Chairman of the Committee. The term of office is four years, renewable twice with the agreement of the Committee.

Terms of Reference

Audit Functions

1. To undertake the County Council's responsibilities to provide an adequate and effective system of internal audit under the Accounts and Audit Regulations 2011.
2. To consider the Head of Internal Audit's annual report and opinion, and a summary of internal audit activity (actual and proposed) and the level of assurance it can give over the council's corporate governance arrangements.
3. To approve the internal audit annual work programme.
4. To monitor the effectiveness of the County Council's external audit arrangements, including liaison between internal and external audit.
5. To monitor the implementation of recommendations arising from the findings of significant audit work.
6. To consider the external auditor's letter, relevant reports, and the report to those charged with governance. To maintain an overview of reports to the County Council from other external inspection agencies to see that these are considered and acted upon by the appropriate body within the County Council so far as necessary.
7. To commission work from internal and external audit.
8. Liaise with the Independent Audit Appointment Panel as necessary over the appointment of the County Council's external auditor.

Accounts Functions

9. To consider and approve the annual statement of accounts, income and expenditure and balance sheet, or record of payments and receipts as

required under the Accounts and Audit Regulations 2011 and the Local Government Pension Scheme (Administration) Regulations 2008 as amended.

Treasury Management Functions

10. To receive quarterly compliance reports on treasury management performance against planned parameters.
11. To consider the wider issues of managing treasury risk including borrowing in the context of interest rate forecasts and the needs of the capital programme.

Regulatory Functions

12. To agree revisions to the County Council's Standing Orders on Procurement and Contracts, Financial Regulations and Risk Management Procedures.
13. To oversee the production of the County Council's Annual Governance Statement and to recommend its adoption.
14. To monitor the effective development and operation of risk management in the County Council.
15. To monitor and approve any changes to the County Council's anti-fraud and corruption strategy.
16. To exercise the powers and duties of the County Council in relation to the approval of premises for the solemnisation of marriages under the Marriages (Approved Premises) Regulations 1995.
17. To exercise the requirement under Regulation 9 of the Marriages (Approved Premises) Regulations 1995 to hear and determine formal representations from applicants and the public and those registered under the Marriage Act 1949, such power to be exercised by any three members of the Committee appointed by the Director of Law and Assurance after consultation with the Chairman of the Committee.
18. To make, amend, revoke or re-enact byelaws under any provision of any enactment (including a local Act), whenever passed, and Section 14 of the Interpretation Act 1978 (c.30).
19. To exercise the powers and duties of the County Council in relation to the licensing of medicines, pharmacy and poisons under Sections 3(1)(b)(ii), 5, 6 and 11 of the Poisons Act 1972 (c.66).
20. To exercise the powers and duties of the County Council in relation to the Manufacture and Storage of Explosives Regulations 2005.
21. To issue permits for operation of mini-buses under Section 19 Transport Act 1985 (delegated to the Executive Director Place Services).
22. To license the employment of children under Part II of the Children and Young Persons Act 1933 (c.33), byelaws made under that Part and Part II of the Children and Young Persons Act 1963 (c.37).

23. To issue, cancel, amend or replace safety certificates for regulated stands at sports grounds under Part III of the Fire Safety and Safety of Places of Sport Act 1987 (c.27) (Delegated to the Chief Fire Officer).
24. To issue, cancel or amend or replace safety certificates (whether general or special) for sports grounds under the Safety of Sports Grounds Act 1975.
25. To review and adjust delegations to officers within the functions delegated to the Committee.
26. To delegate powers, when appropriate and on the recommendation of the Director of Law and Assurance, to another local authority including a borough or district council and to be able, subsequently, to review, amend or withdraw that delegation.
27. To consider a petition in accordance with the Petitions Scheme in accordance with Standing Order 3.43 (b)