

**Tony Kershaw**  
Director of Law and Assurance

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14 September 2021

## **Regulation, Audit and Accounts Committee**

A meeting of the Committee will be held at **10.30 am on Wednesday, 22 September 2021** at **County Hall, Chichester, PO19 1RQ**.

**Note:** In response to the continuing public health measures, there will be limited public access to the meeting. Admission is by ticket only, bookable in advance via: [democratic.services@westsussex.gov.uk](mailto:democratic.services@westsussex.gov.uk)

**The meeting will be available to watch live via the Internet at this address:**

<http://www.westsussex.public-i.tv/core/portal/home>

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## **Agenda**

### **1. Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

### **2. Minutes of the last meeting of the Committee (Pages 5 - 10)**

The Committee is asked to agree the minutes of the meeting held on 19 July 2021 (cream paper).

### **3. Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

### **4. Quarterly Review of Corporate Risk Management (Pages 11 - 22)**

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and current Corporate Risk Register, and provide comment as necessary.

5. **Internal Audit Progress Report (August 2021)** (Pages 23 - 36)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress Report (August 2021).

6. **Internal Audit Plan 2021/22 (Q2 & Q3)** (Pages 37 - 50)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Plan 2021-22 (Q2 & Q3).

7. **Annual Governance Statement** (Pages 51 - 84)

Report by the Director of Law and Assurance.

The Committee is asked to recommend the adoption of the draft Statement and Action Plan through the signatures of the Leader of the Council and the Chief Executive.

8. **External Audit**

The Committee is asked to consider the 2020/21 Audit Results Reports for the West Sussex Pension Fund and the West Sussex County Council from the External Auditor Ernst & Young (EY).

(a) **West Sussex Pension Fund 2020/21 Audit Results Report**  
(Pages 85 - 130)

(b) **West Sussex County Council 2020/21 Audit Results Report**  
(Pages 131 - 182)

9. **Financial Statements 2020/21** (Pages 183 - 358)

Report by the Director of Finance and Support Services.

The Committee is asked to approve the Statement of Accounts for 2020/21 for West Sussex County Council and the West Sussex Pension Fund, for signing by the Chairman of the Committee.

10. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30am on 8 November 2021 at County Hall, Chichester.

**To all members of the Regulation, Audit and Accounts Committee**

## **Webcasting**

Please note: this meeting is being filmed for live and subsequent broadcast via the County Council's website on the internet. The images and sound recording may be used for training purposes by the Council.

Generally the public gallery is not filmed. However, by entering the meeting room and using the public seating area you are consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes.