

Tony Kershaw
Director of Law and Assurance

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9 July 2021

Regulation, Audit and Accounts Committee

A meeting of the Committee will be held at **10.30 am** on **Monday, 19 July 2021** at **County Hall, Chichester, PO19 1RQ**.

Note: In response to the continuing public health restrictions, there will be limited public access to the meeting. Admission is by ticket only, bookable in advance via: democratic.services@westsussex.gov.uk

The meeting will be available to watch live via the Internet at this address:

<http://www.westsussex.public-i.tv/core/portal/home>

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Agenda

1. Committee Membership

The membership of the Regulation, Audit and Accounts Committee was confirmed at the County Council meeting held on 21 May 2021 as follows:

Cllr N Dennis (Chairman)
Cllr Boram (Vice-Chairman)
Cllr B Cooper
Cllr Greenway
Cllr Magill
Cllr Montyn
Cllr Wall

2. Appointment of Independent Co-opted Member of the Committee (Pages 5 - 6)

Report by the Director of Law and Assurance.

The Committee is asked to approve that Mr David Parfitt be appointed to the position of Independent, co-opted member of the Regulation, Audit and Accounts Committee for an initial four-year term.

3. **Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

4. **Minutes of the last meeting of the Committee** (Pages 7 - 14)

The Committee is asked to agree the minutes of the meeting held on 8 March 2021 (cream paper).

5. **Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

6. **Regulation, Audit and Accounts Committee Terms of Reference** (Pages 15 - 18)

The Committee is asked to note its terms of reference.

7. **External Audit - Audit Planning Reports**

The Committee is asked to note the Audit Planning Reports for the West Sussex County Council and the West Sussex Pension Fund from the External Auditor Ernst & Young (EY).

(a) **2020/21 West Sussex County Council Audit Planning Reports**
(Pages 19 - 60)

(b) **2020/21 West Sussex Pension Fund Audit Planning Reports**
(Pages 61 - 92)

8. **Quarterly Review of Corporate Risk Management and Risk Management Strategy** (Pages 93 - 126)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and the current risk management strategy and provide comment as necessary.

9. **Internal Audit Annual Report & Opinion 2020/21** (Pages 127 - 144)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the annual audit report and opinion for the year ended 2020-21.

10. **Internal Audit Annual Fraud Report 2020/21** (Pages 145 - 152)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the annual fraud report for the year ended 2020-21.

11. **Accounts Payable Review** (Pages 153 - 156)

Report by the Director of Finance and Support Services.

The Committee is asked to note the outcome of the audit recovery work carried out in 2020/21 in respect of supplier statements, duplicate payments and VAT corrections.

12. **Treasury Management Compliance Report - First Quarter 2021/22**
(Pages 157 - 164)

Report by the Director of Finance and Support Services.

The Committee is asked to review and comment on the Treasury Management Compliance Report.

13. **Annual Governance Statement 2020/21** (Pages 165 - 194)

Report by the Director of Law and Assurance.

The Committee is asked to support the draft Statement subject to any observations from the Committee.

14. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 22 September 2021 at County Hall, Chichester.

To all members of the Regulation, Audit and Accounts Committee

Webcasting

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Generally the public gallery is not filmed. However, by entering the meeting room and using the public seating area you are consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes.