

## Regulation, Audit and Accounts Committee – Work Programme 2021/22

**Committee Date:- 19 July 2021**

<b>Item</b>	<b>Lead Officer</b>	<b>Objectives and comments</b>
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none"> <li>Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.</li> </ul>
Accounts Payable System Review	Vicky Chuter	<ul style="list-style-type: none"> <li>To update the Committee on the outcome of the recent Accounts Payable Review</li> </ul>
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> <li>To receive quarterly update report on the effectiveness of risk management arrangements.</li> </ul>
Risk Management Strategy	Fraser Pake	<ul style="list-style-type: none"> <li>To consider the reviewed Risk Management Strategy.</li> </ul>
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> <li>To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews</li> </ul>
Internal Audit Plan 2021/22	Neil Pitman	<ul style="list-style-type: none"> <li>To consider the Internal Audit Plan 2021/22 for quarter 2 onwards.</li> </ul>
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"> <li>To provide an update on progress against the action plan</li> </ul>
External Audit Report	External Auditor	<ul style="list-style-type: none"> <li>To review/note the Audit Results Report for County and Pensions</li> </ul>

**Committee Date:- 22 September 2021**

<b>Item</b>	<b>Lead Officer</b>	<b>Objectives and comments</b>
Financial Statements 2020/21	Vicky Chuter	<ul style="list-style-type: none"><li>• To approve the statement of accounts for the County Council and Pension Fund.</li></ul>
Annual Governance Statement	Charles Gauntlett	<ul style="list-style-type: none"><li>• To approve the Annual Governance Statement for 2021/22 and agree action plan.</li></ul>
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"><li>• To receive quarterly update report on the effectiveness of risk management arrangements.</li></ul>
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"><li>• To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews</li></ul>
External Audit Report	External Auditor	<ul style="list-style-type: none"><li>• To review/note the Audit Results Report for County and Pensions</li></ul>

**Committee Date:- 8 November 2021**

<b>Item</b>	<b>Lead Officer</b>	<b>Objectives and comments</b>
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none"><li>• Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.</li></ul>
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"><li>• To receive quarterly update report on the effectiveness of risk management arrangements.</li></ul>
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"><li>• To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews</li></ul>
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"><li>• To provide an update on progress against the action plan</li></ul>
External Audit Report	External Auditor	<ul style="list-style-type: none"><li>• To review/note the Audit Results Report for County and Pensions</li></ul>

**Committee Date:- 17 January 2022**

<b>Item</b>	<b>Lead Officer</b>	<b>Objectives and comments</b>
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"><li>• To provide an update on progress against the action plan</li></ul>
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none"><li>• Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.</li></ul>
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"><li>• To receive quarterly update report on the effectiveness of risk management arrangements.</li></ul>
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"><li>• To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews</li></ul>
External Audit Report	External Auditor	<ul style="list-style-type: none"><li>• Planning report</li></ul>

**Committee Date:- 14 March 2022**

<b>Item</b>	<b>Lead Officer</b>	<b>Objectives and comments</b>
Financial Statements 2021/22 - Plans and Progress	Vicky Chuter	<ul style="list-style-type: none"> <li>To update on progress to date for closing the 2021/22 accounts for West Sussex County Council and the West Sussex Pension Fund.</li> </ul>
Financial Regulations	Vicky Chuter	<ul style="list-style-type: none"> <li>To review the processes in place</li> </ul>
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> <li>To receive quarterly update report on the effectiveness of risk management arrangements.</li> </ul>
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> <li>To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews</li> </ul>
Internal Audit Plan 2022/23	Neil Pitman	<ul style="list-style-type: none"> <li>To consider the Internal Audit Plan and Fraud Plan for 2022/23</li> </ul>
Internal Audit Charter 2022/23	Neil Pitman	<ul style="list-style-type: none"> <li>To consider the Internal Audit Charter 2022/23</li> </ul>
External Audit Report	External Auditor	<ul style="list-style-type: none"> <li>Progress report</li> </ul>
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"> <li>To provide an update on progress against the action plan</li> </ul>
Work Programme 2022/23	Adam Chisnall	<ul style="list-style-type: none"> <li>To agree the work programme for the following year.</li> </ul>